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Atty. Dkt. No. 054270/0126

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Zhongyi LI, et al.

Title: REGULATION OF GENE EXPRESSION IN PLANTS

Appl. No.: 09/508,377

Filing Date: 6/09/2000

Examiner: Unassigned

Art Unit: Unassigned



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**RECEIVED**

JUL 31 2000

**INFORMATION DISCLOSURE STATEMENT**

TECH CENTER 1600/2800

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Submitted herewith on Form PTO-1449 is a listing of documents known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR §1.56. A copy of each listed document is being submitted to comply with the provisions of 37 CFR §1.97 and §1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

**CONCISE EXPLANATION OF**  
**RELEVANCE OF EACH DOCUMENT**

The foregoing documents came to Applicants' attention during the prosecution of the corresponding International application. A copy of the International Search Report is attached setting forth the portion of each document considered relevant by the examiner.

The listed documents are being submitted in compliance with 37 CFR §1.97(b), within three (3) months of the filing date of the application.

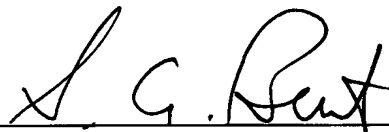
Applicants respectfully request that any listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO-1449 be returned in accordance with MPEP §609.

Respectfully submitted,

Date July 14, 2000

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By

A handwritten signature in black ink, appearing to read "S. A. Bent", written over a horizontal line.

Stephen A. Bent  
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